

## Brexit Risk Register

### Categorisation of Current Risk Register

#### People

|        |  |        |
|--------|--|--------|
| Risk 1 | Access to EU workforce                   | Yellow |
| Risk 2 | Access to EU students                    | Yellow |
| Risk 3 | Loss of European Programme opportunities | Red    |

#### Financial

|        |  |     |
|--------|--|-----|
| Risk 4 | Loss of European Grant Funding                     | Red |
| Risk 5 | Loss of European Programme Funding                 | Red |
| Risk 6 | Loss of access to European Investment Bank support | Red |

#### Standards

|        |  |       |
|--------|--|-------|
| Risk 7 | Maintaining alignment of VET and education provision with EU standards | Green |
|--------|--|-------|

#### Digital

|        |   |        |
|--------|---|--------|
| Risk 8 | Mobile Telephone Roaming Charges              | Yellow |
| Risk 9 | Maintaining Customer Data and data protection | Yellow |

#### Borders

|         |  |     |
|---------|--|-----|
| Risk 10 | Maintaining access to food supplies                            | Red |
| Risk 11 | Impact of reduced access to fuel supplies and public transport | Red |

#### Future Operations

|         |   |     |
|---------|---|-----|
| Risk 12 | Inability to secure project partners in EU countries      | Red |
| Risk 13 | Loss of European contracts where the partner is EU funded | Red |

#### Strategic

|         |  |        |
|---------|--|--------|
| Risk 14 | Maintaining outward-focused global education and training institutional perspectives | Yellow |
|---------|--|--------|

| High Risk (Score 18-25) |                        |   |                            |   |       | Medium Risk (Score 10-17)   |                         |                |                 | Low Risk (Score 1-9) |                          |   |       |             |  |
|-------------------------|------------------------|---|----------------------------|---|-------|---|-------------------------|----------------|-----------------|----------------------|--------------------------|---|-------|-------------|--|
| No                      | Risk                   | Consequence   | (Pre-Controls ) Risk Score |   |       | Control   | Frequency of Monitoring | Accountability | Responsibility  | Review Date          | Net Risk (Post Controls) |   |       | Risk Change | Is Control in Place?   |
|                         |                        |   | L                          | I | Total |   |                         |                |                 |                      | L                        | I | Total |             |  |
| 1                       | Access to EU workforce | <ul style="list-style-type: none"> <li>Reduced subject specific expertise</li> <li>Reduced interaction with international contacts</li> <li>Negative impact on institutional/sector PIs</li> </ul>                                      | 5                          | 2 | 10    | <ul style="list-style-type: none"> <li>Communication strategy</li> <li>Executive engagement with government</li> <li>Principals and Chairs operating effectively and building the appropriate relationships with key stakeholders</li> <li>Regular targeted comms and updates to affected staff (including those with EU partners)</li> </ul> | Quarterly               | Ken Milroy     | Shona Struthers | August 2019          | 5                        | 2 | 10    | ↔           | <ul style="list-style-type: none"> <li>Yes</li> <li>Yes, this occurs regularly in both formal and informal settings</li> <li>Yes</li> <li>At an institutional level this is already happening in many institutions.</li> </ul> |
| 2                       | Access to EU students  | <ul style="list-style-type: none"> <li>Increased HE places available in system for Scots-domiciled students</li> <li>Fewer advocates for Scottish education/ Scotland's civic brand in EU</li> <li>Impact on social dynamics</li> </ul> | 5                          | 5 | 25    | <ul style="list-style-type: none"> <li>Communication strategy</li> <li>Executive engagement with government</li> <li>Principals and Chairs operating effectively and building the appropriate relationships with key stakeholders</li> <li>Regular targeted comms and updates to affected students</li> </ul>                                 | Quarterly               | Ken Milroy     | Shona Struthers | August 2019          | 5                        | 2 | 10    | ↔           | <ul style="list-style-type: none"> <li>Not specifically for recruitment of EU students</li> <li>Yes</li> <li>Yes</li> <li>Yes, by Scottish Government and at individual institute level</li> </ul>                             |

| High Risk (Score 18-25) |  |   |                            |   |       | Medium Risk (Score 10-17)  |                         |                |                 | Low Risk (Score 1-9) |                          |   |       |             |  |
|-------------------------|--|---|----------------------------|---|-------|--|-------------------------|----------------|-----------------|----------------------|--------------------------|---|-------|-------------|--|
| No                      | Risk                                     | Consequence   | (Pre-Controls ) Risk Score |   |       | Control  | Frequency of Monitoring | Accountability | Responsibility  | Review Date          | Net Risk (Post Controls) |   |       | Risk Change | Is Control in Place?   |
|                         |  |   | L                          | I | Total |  |                         |                |                 |                      | L                        | I | Total |             |  |
| 3                       | Loss of European Programme Opportunities | <ul style="list-style-type: none"> <li>Fewer opportunities for inter-institutional collaboration for staff</li> <li>Fewer opportunities for sharing or enhancing learning for students to participate in</li> <li>Fewer opportunities for building social capital in students and staff through experiences underpinned by European Programmes</li> </ul> | 5                          | 4 | 20    | <ul style="list-style-type: none"> <li>Monitoring comms from SFC, SDS, Scottish Government, UK Government and EU Commission</li> <li>Maintaining relationships with key EU partners and stakeholders</li> <li>Regular reinforcement of sector position to decision-makers of positive benefits of EU programmes</li> </ul> | Quarterly               | Ken Milroy     | Shona Struthers | August 2019          | 5                        | 4 | 20    | ↔           | <ul style="list-style-type: none"> <li>yes</li> <li>Yes, at Government and institutional level</li> <li>Yes</li> </ul> |
| 4                       | Loss of European Grant Funding           | <ul style="list-style-type: none"> <li>Reduction in education and training places targeted at tackling deprivation</li> </ul>   | 5                          | 5 | 25    | <ul style="list-style-type: none"> <li>Monitor and influence development of UK Shared Prosperity Fund</li> </ul>   | Monthly                 | Ken Milroy     | Shona Struthers | August 2019          | 5                        | 5 | 25    | ↔           | <ul style="list-style-type: none"> <li>Yes</li> </ul>  |

| High Risk (Score 18-25) |  |  |                            |   |       | Medium Risk (Score 10-17)  |                         |                |                 | Low Risk (Score 1-9) |                          |   |       |             |   |
|-------------------------|--|--|----------------------------|---|-------|--|-------------------------|----------------|-----------------|----------------------|--------------------------|---|-------|-------------|---|
| No                      | Risk   | Consequence  | (Pre-Controls ) Risk Score |   |       | Control  | Frequency of Monitoring | Accountability | Responsibility  | Review Date          | Net Risk (Post Controls) |   |       | Risk Change | Is Control in Place?                                  |
|                         |  |  | L                          | I | Total |  |                         |                |                 |                      | L                        | I | Total |             |   |
| 5                       | Loss of European Programme Funding                                     | <ul style="list-style-type: none"> <li>Colleges will be unable to access EU funding for refurbishment of college estate</li> </ul>   | 5                          | 5 | 25    | <ul style="list-style-type: none"> <li>Monitor and influence development of UK Shared Prosperity Fund</li> </ul>                                     | Monthly                 | Ken Milroy     | Shona Struthers | August 2019          | 5                        | 5 | 25    | ↔           | <ul style="list-style-type: none"> <li>Yes</li> </ul> |
| 6                       | Loss of access of European Investment Bank support                     | <ul style="list-style-type: none"> <li>Less capital funding available from the Scottish Government</li> </ul>  | 5                          | 5 | 25    | <ul style="list-style-type: none"> <li>Monitor and influence development of UK Shared Prosperity Fund</li> </ul>                                     | Monthly                 | Ken Milroy     | Shona Struthers | August 2019          | 5                        | 5 | 25    | ↔           | <ul style="list-style-type: none"> <li>Yes</li> </ul> |
| 7                       | Maintaining alignment of VET and education provision with EU standards | <ul style="list-style-type: none"> <li>More difficult to continue alignment with EU priorities</li> <li>Potential political ramifications post-Brexit should Scotland seek EU membership but not aligned to EU VET standards and priorities</li> </ul> | 1                          | 4 | 4     | <ul style="list-style-type: none"> <li>Continue to engage with SCQF and other key stakeholders to ensure sector perspective is considered</li> </ul> | Bi-annually             | Ken Milroy     | Shona Struthers | August 2019          | 1                        | 4 | 4     | ↔           | <ul style="list-style-type: none"> <li>Yes</li> </ul> |
| 8                       | Mobile Roaming   | <ul style="list-style-type: none"> <li>Loss of free roaming in the EU after Brexit</li> <li>Government legislation would ensure limit at £45 per monthly billing period, as at present</li> </ul>  | 1                          | 1 | 1     |  | Annually                | Ken Milroy     | Shona Struthers | August 2019          | 5                        | 2 | 10    | ↔           | <ul style="list-style-type: none"> <li>Yes</li> </ul> |

| High Risk (Score 18-25) |   |   |                |   |       | Medium Risk (Score 10-17)   |                         |                |                 | Low Risk (Score 1-9) |                          |   |       |             |   |
|-------------------------|---|---|----------------|---|-------|---|-------------------------|----------------|-----------------|----------------------|--------------------------|---|-------|-------------|---|
| No                      | Risk  | Consequence   | (Pre-Controls) |   |       | Control   | Frequency of Monitoring | Accountability | Responsibility  | Review Date          | Net Risk (Post Controls) |   |       | Risk Change | Is Control in Place?                                  |
|                         |   |   | L              | I | Total |   |                         |                |                 |                      | L                        | I | Total |             |   |
| 9                       | Maintaining Customer Data and data protection | <ul style="list-style-type: none"> <li>Will colleges be able to hold and transfer data and personal information without any interruption after we have left the EU</li> </ul> | 5              | 2 | 10    | <ul style="list-style-type: none"> <li>Continue to remain compliant with data protection laws after Brexit</li> </ul>                                 | Annually                | Ken Milroy     | Shona Struthers | August 2019          | 5                        | 2 | 10    | ↔           | <ul style="list-style-type: none"> <li>Yes</li> </ul> |
| 10                      | Maintaining access to food supplies           | <ul style="list-style-type: none"> <li>Will colleges be able to continue to access food from suppliers</li> </ul>   | 1              | 3 | 1     | <ul style="list-style-type: none"> <li>Ensure food supplier(s) where applicable are planning for the potential impacts of a no-deal Brexit</li> </ul> | Monthly                 | Ken Milroy     | Shona Struthers | August 2019          |                          |   |       | ↔           | <ul style="list-style-type: none"> <li>Yes</li> </ul> |

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|----|--|---|---|---|---|--|-------|------------|-----------------|-------------|---|---|----|---|---|
| 11 | Impact of reduced access to fuel supplies and public transport | <ul style="list-style-type: none"> <li>Will staff and students still be able to access remote campus, particularly in remote areas</li> <li>What are the procedures if a college cannot remain open due to staff shortages</li> </ul> | 1 | 5 | 1 | <ul style="list-style-type: none"> <li>Ensure that appropriate measures are in place to mitigate impact of fuel shortages</li> <li>Ensure that the appropriate reporting mechanisms are in place to allow colleges to take appropriate action e.g. college closures</li> <li>Agree arrangements with SG/SFC if targets maybe missed, or other impacts</li> </ul> | Daily | Ken Milroy | Shona Struthers | August 2019 | 3 | 5 | 20 | ↔ | <ul style="list-style-type: none"> <li>Yes,</li> <li>Yes, at Government and institutional level and SFC, as will impact targets.</li> </ul> |
|----|--|---|---|---|---|--|-------|------------|-----------------|-------------|---|---|----|---|---|

| High Risk (Score 18-25) |  |  | Medium Risk (Score 10-17)  |   |       |  | Low Risk (Score 1-9)    |                |                 |             |                          |   |       |             |  |
|-------------------------|--|--|----------------------------|---|-------|--|-------------------------|----------------|-----------------|-------------|--------------------------|---|-------|-------------|--|
| No                      | Risk   | Consequence  | (Pre-Controls ) Risk Score |   |       | Control  | Frequency of Monitoring | Accountability | Responsibility  | Review Date | Net Risk (Post Controls) |   |       | Risk Change | Is Control in Place?   |
|                         |  |  | L                          | I | Total |  |                         |                |                 |             | L                        | I | Total |             |  |
| 12                      | Inability to secure project partners in European Countries | <ul style="list-style-type: none"> <li>Diminished value and influence</li> <li>Reduced opportunities for students and staff</li> <li>Reduced influence of Scottish colleges on a global scale</li> </ul> | 5                          | 5 | 25    | <ul style="list-style-type: none"> <li>Monitor political progress in EU exit negotiations</li> <li>Ensure sector position is conveyed to decision makers including politicians and Scotland and UK government officials</li> </ul> | Quarterly               | Ken Milroy     | Shona Struthers | August 2019 | 5                        | 5 | 25    | ↔           | <ul style="list-style-type: none"> <li>Yes</li> <li>yes</li> </ul> |

|    |  |   |   |   |    |  |             |            |                 |             |   |   |    |   |  |
|----|--|---|---|---|----|--|-------------|------------|-----------------|-------------|---|---|----|---|--|
| 13 | Loss of contracts where partner is European funded                                     | <ul style="list-style-type: none"> <li>Diminished value and influence</li> <li>Reduced income for institutions</li> <li>Reduced sector influence outside Scotland</li> </ul>  | 5 | 5 | 25 | <ul style="list-style-type: none"> <li>Monitor political progress in EU exit negotiations</li> <li>Ensure sector position and value is conveyed to decision makers including politicians and government officials at both Scottish and UK Governments</li> </ul> | Quarterly   | Ken Milroy | Shona Struthers | August 2019 | 5 | 5 | 25 | ↔ | <ul style="list-style-type: none"> <li>Yes</li> <li>yes</li> </ul> |
| 14 | Maintaining an outward focused global education and training institutional perspective | <ul style="list-style-type: none"> <li>Diminished value and influence</li> <li>Reduced alignment with EU member states</li> <li>Fewer opportunities for staff and students to expand individual horizons</li> </ul> | 4 | 4 | 16 | <ul style="list-style-type: none"> <li>Institutions will continue to build relationships with partners, including outside the EU.</li> </ul>   | Bi-annually | Ken Milroy | Shona Struthers | August 2019 | 4 | 4 | 16 | ↔ | <ul style="list-style-type: none"> <li>yes</li> </ul>              |

### Likelihood

| Likelihood | The probability that the risk and its associated impacts could occur |
|------------|--|
| 1          | Risk is unlikely to occur  |
| 2          | Risk may occasionally occur  |
| 3          | Risk is likely to occur  |
| 4          | Risk may often occur   |
| 5          | Risk could easily, or does, occur                                    |

### Impact

| Impact | Impact the risk would have on the business |
|--------|--|
| 1      | No significant impact on the sector        |
| 2      | Has a small impact on the sector           |

|   |                                    |
|---|------------------------------------|
| 3 | Has limited impact on the sector   |
| 4 | Has a large impact on the sector   |
| 5 | Has a serious impact on the sector |

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